

Inventor(s): SAWAZAKI, et al.

Appl. No.: 09 522,832

Series Code ↑

Serial No. ↑

Filed: March 10, 2000

Hon. Commissioner of Patents

Washington, D.C. 20231

Group Art Unit 2815

Examiner: B. Baumeister

Atty. Dkt. P 257760 T36-120877M/KOH

M#

Client Ref

Appl. Title: GROUP III NITRIDE COMPOUND  
SEMICONDUCTOR LIGHT-EMITTING  
DEVICE

Sir:

JUN 29 2001

## REPLY/AMENDMENT/LETTER

Date: June 29, 2001

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

## FEE REQUIREMENTS FOR CLAIMS AS AMENDED

|  |  |   |                                    |               |                    |                 |                |
|--|--|---|------------------------------------|---------------|--------------------|-----------------|----------------|
| 1. Small Entity claim<br>A. <input checked="" type="checkbox"/> NOT made<br>B. <input type="checkbox"/> Withdrawn<br>C. <input type="checkbox"/> made herewith<br>D. <input type="checkbox"/> made previously<br>For B & C See Required Separate Paper (Pat-256) |  | Claims remaining after amendment          | Highest number previously paid for | Present Extra | Large/Small Entity | Additional Fee  | Fee Code Lg/Sm |
| 2. Total Effective Claims  |  | 4   | **minus 20                         | 0             | x \$18/\$9 =       | + \$0           | 103/203        |
| 3. Independent Claims  |  | 1   | ***minus 3                         | 0             | x \$80/\$40 =      | + \$0           | 102/202        |
| 4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) .....  |  |   |                                    |               | add                | + \$270/\$135 = | + \$0 104/204  |
| 5. Original due Date: June 29, 2001  |  | <input type="checkbox"/> NONE             |                                    |               |                    |                 |                |
| 6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached  |  | (1 mo)                                    | \$110/\$55 =                       |               |                    |                 | 115/215        |
|  |  | (2 mos)                                   | \$390/\$195 =                      |               | + \$0              |                 | 116/216        |
|  |  | (3 mos)                                   | \$890/\$445 =                      |               |                    |                 | 117/217        |
|  |  | (Usable only for ≤ 2mo.OA --- 4 mos)      | \$1390/\$695=                      |               |                    |                 | 118/218        |
|  |  | (Usable only for 30 day/1mo.OA --- 5 mos) | \$1890/\$945=                      |               |                    |                 | 128/228        |
| 7. Enter any previous extension fee paid since above original due date and subtract  |  |   |                                    |               | - \$0              |                 |                |
| 8. Extension Fee Attached  |  |   |                                    |               | + \$0              |                 |                |
| 9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....  |  |   |                                    |               | + \$110/\$55       | + \$0           | 148/248        |
| 10. If IDS attached requires Official Fee under Rule 97 (c), .....   |  |   |                                    |               | + \$180            | + \$0           | 126            |
| or if Rule 97(d) Request .....   |  |   |                                    |               | + \$180            |                 | 126            |
| 11. After-Final Request Fee per rules 129(a) and 17(r) .....   |  |   |                                    |               | + \$710/355        | + \$0           | 146/246        |
| 12. No. of additional inventions for examination per Rule 129(b) .....   |  |   |                                    |               | x \$710/355 ea     | + \$0           | 149/249        |
| 13. Request for Continued Examination (RCE) .....  |  |   |                                    |               | + \$710/355        | + \$0           | 1179/1279      |
| 14. Petition fee for .....   |  |   |                                    |               | + \$0              |                 |                |
| 15. TOTAL FEE ENCLOSED =   |  |   |                                    |               | \$0                |                 |                |

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 51273 257760

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP

Intellectual Property Group

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NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: SAWAZAKI, et al.

Application No.: 09/522,832

Group: 2815

Filed: March 10, 2000

Examiner: B. Baumeister

Title: GROUP III NITRIDE COMPOUND  
SEMICONDUCTOR LIGHT-EMITTING  
DEVICE

\* \* \* \*

AMENDMENT

Hon. Commissioner of Patents  
Washington, D. C. 20231

Sir:

In response to the Office Action dated March 29, 2001, Applicants submit the following Amendment and Reply.

**IN THE SPECIFICATION:**

Page 1, delete the whole paragraph starting with line 12 and replace it with the following new paragraph.

A1 Fig. 2 is a sectional view showing a structure of a group III nitride compound semiconductor light-emitting device 200 according to related art.

Page 1, delete the whole paragraph starting with line 15 and replace it with the following new paragraph.

A2 The group III nitride compound semiconductor light-emitting device 200 is representative of light-emitting devices of the type having layers of group III nitride semiconductors laminated on a substrate.

RECEIVED  
JUL - 3 2001  
TECHNOLOGY CENTER 2800

7/A  
7-7-01  
T. Flowers